

UNITED STATES HOUSE OF REPRESENTATIVES 2018 FINANCIAL DISCLOSURE STATEMENT

Form A
For Use by Members, Officers, and Employees

HAND DELIVERED Page 1 of 14

LEGISLATIVE RESOURCE CENTER

2019 APR 29 PM 5:52

OFFICE OF THE CLERK

A \$200 penalty shall be assessed against any individual who files more than 30 days late.

Name: Christopher Collins Daytime Telephone: _____

FILER STATUS: ☒ Member of the U.S. House of Representatives State: New York District: 27 ☐ Officer or Employee ☐ Employing Office: _____ Staff Filer Type: (If Applicable) ☐ Shared ☐ Principal Assistant ☐

REPORT TYPE: ☒ 2018 Annual (Due: May 15, 2019) ☐ Amendment ☐ Termination Date of Termination: _____

PRELIMINARY INFORMATION - ANSWER EACH OF THESE QUESTIONS

<p>A. Did you, your spouse, or your dependent child:</p> <p>a. Own any reportable asset that was worth more than \$1,000 at the end of the reporting period? <u>or</u></p> <p>b. Receive more than \$200 in unearned income from any reportable asset during the reporting period?</p>	<p>Yes <input checked="" type="checkbox"/> No <input type="checkbox"/></p> <p>F. Did you have any reportable agreement or arrangement with an outside entity during the reporting period or in the current calendar year up through the date of filing?</p> <p>Yes <input type="checkbox"/> No <input checked="" type="checkbox"/></p>
<p>B. Did you, your spouse, or your dependent child purchase, sell, or exchange any securities or reportable real estate in a transaction exceeding \$1,000 during the reporting period?</p>	<p>Yes <input checked="" type="checkbox"/> No <input type="checkbox"/></p> <p>G. Did you, your spouse, or your dependent child receive any reportable gift(s) totaling more than \$390 in value from a single source during the reporting period?</p> <p>Yes <input checked="" type="checkbox"/> No <input type="checkbox"/></p>
<p>C. Did you or your spouse have "earned" income (e.g., salaries, honoraria, or pension/IRA distributions) of \$200 or more during the reporting period?</p>	<p>Yes <input checked="" type="checkbox"/> No <input type="checkbox"/></p> <p>H. Did you, your spouse, or your dependent child receive any reportable travel or reimbursements (for travel totaling more than \$390 in value from a single source during the reporting period?</p> <p>Yes <input type="checkbox"/> No <input checked="" type="checkbox"/></p>
<p>D. Did you, your spouse, or your dependent child have any reportable liability (more than \$10,000) at any point during the reporting period?</p>	<p>Yes <input type="checkbox"/> No <input checked="" type="checkbox"/></p> <p>I. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article during the reporting period?</p> <p>Yes <input type="checkbox"/> No <input checked="" type="checkbox"/></p>
<p>E. Did you hold any reportable positions during the reporting period or in the current calendar year up through the date of filing?</p>	<p>Yes <input checked="" type="checkbox"/> No <input type="checkbox"/></p> <p>ATTACH THE CORRESPONDING SCHEDULE IF YOU ANSWER "YES"</p>

IPO AND EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION - ANSWER EACH OF THESE QUESTIONS

<p>IPO - Did you purchase any shares that were allocated as a part of an Initial Public Offering during the reporting period? If you answered "Yes" to this question, please contact the Committee on Ethics for further guidance.</p>	<p>Yes <input type="checkbox"/> No <input checked="" type="checkbox"/></p>
<p>TRUSTS - Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust that benefits you, your spouse, or dependent child?</p>	<p>Yes <input type="checkbox"/> No <input checked="" type="checkbox"/></p>
<p>EXEMPTION - Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or your dependent child because they meet all three tests for exemption? Do not answer "yes" unless you have first consulted with the Committee on Ethics.</p>	<p>Yes <input type="checkbox"/> No <input checked="" type="checkbox"/></p>

SCHEDULE A - ASSETS & "UNEARNED INCOME"

Name: Christopher Collins

Page 2 of 14

BLOCK A			BLOCK B													BLOCK C								BLOCK D												BLOCK E	
Assets and/or Income Sources			Value of Asset													Type of Income								Amount of Income												Transaction	
<p>Identify (a) each asset held for investment or production of income and with a fair market value exceeding \$1,000 at the end of the reporting period; and (b) any other reportable asset or source of income that generated more than \$200 in "unearned" income during the year.</p> <p>Provide complete names of stocks and mutual funds (do not use only ticker symbols).</p> <p>For all IRAs and other retirement plans (such as 401(k) plans) provide the value for each asset held in the account that exceeds the reporting thresholds.</p> <p>For bank and other cash accounts, total the amount in all interest-bearing accounts. If the total is over \$5,000, list every financial institution where there is more than \$1,000 in interest-bearing accounts.</p> <p>For rental and other real property held for investment, provide a complete address or description, e.g., "rental property," and a city and state.</p> <p>For an ownership interest in a privately-held business that is not publicly traded, state the name of the business, the nature of its activities, and its geographic location in Block A.</p> <p>Exclude: Your personal residence, including second homes and vacation homes (unless there was rental income during the reporting period); and any financial interest in, or income derived from, a federal retirement program, including the Thrift Savings Plan.</p> <p>If you report a privately-traded fund that is an Excepted Investment Fund, please check the "EIF" box.</p> <p>If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC), or jointly held with anyone (JT), in the optional column on the far left.</p> <p>For a detailed discussion of Schedule A requirements, please refer to the instruction booklet.</p>			<p>Indicate value of asset at close of the reporting period. If you use a valuation method other than fair market value, please specify the method used.</p> <p>If an asset was sold during the reporting period and is included only because it generated income, the value should be "None."</p> <p>*Column M is for assets held by your spouse or dependent child in which you have no interest.</p>													<p>Check all columns that apply. For accounts that generate tax-deferred income (such as 401(k), IRA, or 529 accounts), you may check the "Tax-Deferred" column. Dividends, interest, and capital gains, even if reinvested, must be disclosed as income for assets held in taxable accounts. Check "None" if the asset generated no income during the reporting period.</p>								<p>For assets for which you checked "Tax-Deferred" in Block C, you may check the "None" column. For all other assets indicate the category of income by checking the appropriate box below. Dividends, interest, and capital gains, even if reinvested, must be disclosed as income for assets held in taxable accounts. Check "None" if no income was earned or generated.</p> <p>*Column XII is for assets held by your spouse or dependent child in which you have no interest.</p>												<p>Indicate if the asset had purchases (P), sales (S), or exchanges (E) exceeding \$1,000 in the reporting period.</p> <p>If only a portion of an asset was sold, please indicate as follows: (S (part)).</p> <p>Leave this column blank if there are no transactions that exceeded \$1,000.</p>	
SP, DC, JT	SP	EIF	A	B	C	D	E	F	G	H	I	J	K	L	M	NONE	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED/BLIND TRUST	TAX-DEFERRED	Other Type of Income (Specify: e.g., Partnership Income or Farm Income)	I	II	III	IV	V	VI	VII	VIII	IX	X	XI	XII	P, S, S(part), or E	
	Examples: Mega Corp. Stock																																				
	Simon & Schuster																																				
	ABC Hedge Fund	X																																			
	Zepeto Metrix Corp.																																				
	Buffalo, NY																																				
	BioTechnology Co.																																				

SCHEDULE A - ASSETS & "UNEARNED INCOME"

Name: Christopher Collins

Page 3 of 14

BLOCK A Assets and/or Income Sources		BLOCK B Value of Asset													BLOCK C Type of Income							BLOCK D Amount of Income												BLOCK E Transaction		
SP, DC, JT	ASSET NAME	A	B	C	D	E	F	G	H	I	J	K	L	M	NONE	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED/BLIND TRUST	TAX-DEFERRED	Other Type of Income (Specify: e.g., Partnership Income or Farm Income)	I	II	III	IV	V	VI	VII	VIII	IX	X	XI	XII	P, S, S(part), or E	
	None																																			
	\$1-\$1,000																																			
	\$1,001-\$15,000																																			
	\$15,001-\$50,000																																			
	\$50,001-\$100,000																																			
	\$100,001-\$250,000																																			
	\$250,001-\$500,000																																			
	\$500,001-\$1,000,000																																			
	\$1,000,001-\$5,000,000																																			
	\$5,000,001-\$25,000,000																																			
	\$25,000,001-\$50,000,000																																			
	Over \$50,000,000																																			
	Spouse/DC Asset over \$1,000,000*																																			
	Zepher Realty 818 Main Street Buffalo, NY																					Partnership														
	Volland Electric Corp Buffalo, NY																					Sub-S														
	Electrical Repair & Distribution																																			
	Audubon Machinery Corp N. Tonawanda, NY																					Sub-S														
	Machinery Mfg.																																			
	Amplia Therapeutics Ltd N. Melbourne, Australia																																			
	Cancer Biotech Co.																																			

Note:
S(part)

SCHEDULE A - ASSETS & "UNEARNED INCOME"

Name: Christopher Collins

Page 4 of 14

BLOCK A Assets and/or Income Sources		BLOCK B Value of Asset													BLOCK C Type of Income							BLOCK D Amount of Income											BLOCK E Transaction			
SP, DC, JT	ASSET NAME	A	B	C	D	E	F	G	H	I	J	K	L	M	NONE	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED/BLIND TRUST	TAX-DEFERRED	Other Type of Income (Specify: e.g., Partnership Income or Farm Income)	I	II	III	IV	V	VI	VII	VIII	IX	X	XI	XII	P, S, S(part), or E	
	Wurtlitzer Capital Group 814 Wurtlitzer Dr. N. Tonawanda, NY								X								X					Partnership					X									
	Ingenious Products Cheyenne, WY Distributor - Retail Products						X															Sub-S						X								
	Private Mortgage 13 Cerrado Lane Palm Coast, FL			X													X									X										
	Private Mortgage 507 Governor's Glen Venice, FL					X											X										X									

SCHEDULE A - ASSETS & "UNEARNED INCOME"

Name: Christopher Collins

Page 5 of 14

BLOCK A Assets and/or Income Sources		BLOCK B Value of Asset													BLOCK C Type of Income							BLOCK D Amount of Income												BLOCK E Transaction		
SP, DC, JT	ASSET NAME	A	B	C	D	E	F	G	H	I	J	K	L	M	NONE	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED/BLIND TRUST	TAX-DEFERRED	Other Type of Income (Specify: e.g., Partnership Income or Farm Income)	I	II	III	IV	V	VI	VII	VIII	IX	X	XI	XII	P, S, S(part), or E	
	None																																			
	\$1-\$1,000																																			
	\$1,001-\$15,000																																			
	\$15,001-\$50,000																																			
	\$50,001-\$100,000																																			
	\$100,001-\$250,000																																			
	\$250,001-\$500,000																																			
	\$500,001-\$1,000,000																																			
	\$1,000,001-\$5,000,000																																			
	\$5,000,001-\$25,000,000																																			
	\$25,000,001-\$50,000,000																																			
	Over \$50,000,000																																			
	Spouse/DC Asset over \$1,000,000*																																			
	Private Mortgage 4672 Alexander Rd Ln Sarasota, FL																																			
	Bank of Akron Checking Acct.																																			
	M&T Bank - Checking																																			
	Bank of Akron Money Market																																			Note 2
	Private Mortgage 9223 Beebe Hill Rd Cuba, NY 14727																																			P

SCHEDULE A - ASSETS & "UNEARNED INCOME"

Name: Christopher Collins

Page 6 of 14

BLOCK A Assets and/or Income Sources		BLOCK B Value of Asset													BLOCK C Type of Income							BLOCK D Amount of Income												BLOCK E Transaction		
SP, DC, JT	ASSET NAME	A	B	C	D	E	F	G	H	I	J	K	L	M	NONE	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED/BLIND TRUST	TAX-DEFERRED	Other Type of Income (Specify: e.g., Partnership Income or Farm Income)	I	II	III	IV	V	VI	VII	VIII	IX	X	XI	XII	P, S, S(part), or E	
	Stock - Chembio Diagnostics	X																	X																	S
	Stock - Graham Corp	X																	X																	S
	Emergent Capital Stock	X															X																			S
	Patio Village Apts San Diego, CA	X																	X																S	
	Note #1 - Sinatra & Co.									X							X																			
	Note #2 - Sinatra & Co.	X															X																			Note 3
	Note - Great Plans Recycling	X															X																			Note 4

SCHEDULE A - ASSETS & "UNEARNED INCOME"

Name: Christopher Collins

Page 7 of 14

BLOCK A Assets and/or Income Sources		BLOCK B Value of Asset													BLOCK C Type of Income							BLOCK D Amount of Income												BLOCK E Transaction		
SP, DC, JT	ASSET NAME	A	B	C	D	E	F	G	H	I	J	K	L	M	NONE	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED/BLIND TRUST	TAX-DEFERRED	Other Type of Income (Specify: e.g., Partnership Income or Farm Income)	I	II	III	IV	V	VI	VII	VIII	IX	X	XI	XII	P, S, S(part), or E	
	None																																			
	\$1-\$1,000																																			
	\$1,001-\$15,000																																			
	\$15,001-\$50,000																																			
	\$50,001-\$100,000																																			
	\$100,001-\$250,000																																			
	\$250,001-\$500,000																																			
	\$500,001-\$1,000,000																																			
	\$1,000,001-\$5,000,000																																			
	\$5,000,001-\$25,000,000																																			
	\$25,000,001-\$50,000,000																																			
	Over \$50,000,000																																			
	Spouse/DC Asset over \$1,000,000*																																			
	Woodlands Group LLC 3780-3799 Commerce Ct Wheatfield, NY								X									X				Partnership														
	Nigel Drive Associates 365 Nigel Drive Buffalo, NY							X										X				Partnership														
	ICU Diagnostics Buffalo, NY																																			Notes
	SSIL-II LLC Woodbridge Apts 3421 Foxboro Dr Woodbridge, IL																					Partnership														

SCHEDULE A - ASSETS & "UNEARNED INCOME"

Name: Christopher Collins

Page 8 of 14

BLOCK A Assets and/or Income Sources		BLOCK B Value of Asset													BLOCK C Type of Income							BLOCK D Amount of Income												BLOCK E Transaction		
SP, DC, JT	ASSET NAME	A	B	C	D	E	F	G	H	I	J	K	L	M	NONE	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED/BLIND TRUST	TAX-DEFERRED	Other Type of Income (Specify: e.g., Partnership Income or Farm Income)	I	II	III	IV	V	VI	VII	VIII	IX	X	XI	XII	P, S, S(part), or E	
	Linda Lane Apts														X							Partnership	X													
	75 Linda Lane														X							Partnership	X													
	Cheekstown, NY														X							Partnership	X													
	For Valley Villages LLC														X							Partnership	X													
	710 South Eola Rd														X							Partnership	X													
	Aurora, IL 60504														X							Partnership	X													
	West Seneca Apt Gp														X							Partnership	X													
	60-91 Oakridge														X							Partnership	X													
	West Seneca, NY														X							Partnership	X													
	Cocoon Development														X							Partnership	X													
	375 Harris Hill Rd														X							Partnership	X													
	Canastota, NY														X							Partnership	X													

SCHEDULE A - ASSETS & "UNEARNED INCOME"

Name: Christopher Collins

Page 9 of 14

BLOCK A Assets and/or Income Sources		BLOCK B Value of Asset													BLOCK C Type of Income							BLOCK D Amount of Income												BLOCK E Transaction	
SP, DC, JT	ASSET NAME	A	B	C	D	E	F	G	H	I	J	K	L	M	NONE	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED/BLIND TRUST	TAX-DEFERRED	Other Type of Income (Specify: e.g., Partnership Income or Farm Income)	I	II	III	IV	V	VI	VII	VIII	IX	X	XI	XII	P, S, S(part), or E
	None																																		
	\$1-\$1,000																																		
	\$1,001-\$15,000																																		
	\$15,001-\$50,000																																		
	\$50,001-\$100,000																																		
	\$100,001-\$250,000																																		
	\$250,001-\$500,000																																		
	\$500,001-\$1,000,000																																		
	\$1,000,001-\$5,000,000																																		
	\$5,000,001-\$25,000,000																																		
	\$25,000,001-\$50,000,000																																		
	Over \$50,000,000																																		
	Spouse/DC Asset over \$1,000,000*																																		
	125 Wild Cherry Lane																																		
	Vacant Lot																																		
	Marco Island, FL																																		
	Fidelity Money Market																																		
	Equity Trust Cash																																		
	East Securities Fund																																		
	MAT Bank																																		
	Money Market																																		
	Morgan Stanley MM																																		
	Gold IE MM																																		
	WBS Bank MM																																		

SCHEDULE B – TRANSACTIONS

Name: Christopher Collins

Page 10 of 14

Report any purchase, sale, or exchange transactions that exceeded \$1,000 in the reporting period or any security or real property held by you, your spouse, or your dependent child for investment or the production of income. Include transactions that resulted in a capital loss. Provide a brief description of an exchange transaction. Exclude transactions between you, your spouse, or dependent children, or the purchase or sale of your personal residence, unless it generated rental income. If only a portion of an asset is sold, please choose "partial sale" as the type of transaction.		Type of Transaction				Check Box if Capital Gain Exceeded \$200	Date (MM/DD/YY) or Quarterly, Monthly, or Bi-weekly, if applicable	Amount of Transaction										
SP, DC, JT	Asset	Purchase	Sale	Partial Sale	Exchange			A \$1,001-\$15,000	B \$15,001-\$50,000	C \$50,001-\$100,000	D \$100,001-\$250,000	E \$250,001-\$500,000	F \$500,001-\$1,000,000	G \$1,000,001-\$5,000,000	H \$5,000,001-\$25,000,000	I \$25,000,001-\$50,000,000	J Over \$50,000,000	K Over \$1,000,000* (Spouse/DC Asset)
SP	Example Mega Corp. Stock			X		X	3/9/18		X									
	Private Mortgage 9223 Beebe Hill Cuba, NY 14227	X					6/25/18				X							
	Innate Immuno Therapeutics Ltd (Amplia Therapeutics)		X				6/20/18		X									
	Graham Corporation		X				6/14/18			X								
	Chembia Diagnostics, Inc.		X				6/14/18				X							
	Emergent Capital, Inc		X				6/14/18	X										
	Loceon Development, LLC	X					3/19/18				X							
	375 Harris Hill Rd, Lancaster, NY																	
	Patio Village Apts. San Diego, CA		X				11/9/18				X							

Page 11 of 14

INCOME LIMITS and PROHIBITED INCOME: The 2018 limit on outside earned income for Members and employees compensated at or above the "senior staff" rate was \$26,050. The 2019 limit is \$28,440. In addition, certain types of income (notably, honoraria, director's fees, and payments for professional services involving a fiduciary relationship) are totally prohibited.

Use additional sheets if more space is required

SCHEDULE E - POSITIONS

Name: Christopher Collins

Page 12 of 14

Report all positions, compensated or uncompensated, held during the current or prior calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, nonprofit organization, labor organization, or educational or other institution other than the United States. Exclude: Positions listed in Schedule C, positions held in any religious, social, fraternal, or political entities (such as political parties and campaign organizations), and positions solely of an honorary nature.

Position	Name of Organization
Director	Audubon Machinery Corp
Director	Volland Electric Equipment Corp
Partner	Zepto Metrix Realty LLC
Partner	Wuritzer Capital Group LLC
Partner	Nagel Drive Associates LLC
Partner	Woodlands Realty Group LLC
Partner	Fox Valley Villages LLC
Partner	Linda Lane Apartment Group LLC
Partner	SSI-II Woodbridge Apartments LLC
Partner	West Seneca Apartment Group LLC
Partner	Cocon Development LLC
Director	Greater Niagara Frontier Council-Boy Scouts of America
Resigned Positions 2018	
Director - Chairman	Zepto Metrix Corporation
Director	Innate Immunotherapeutics Ltd
Director	ICU Diagnostics Inc.
Partner	Cobblestone Realty LLC
Partner	Patto Village Apartments LLC

★ All Positions are uncompensated

SCHEDULE F – AGREEMENTS

Name: Chris Collins

Page 13 of 14

Identify the date, parties to, and general terms of any agreement or arrangement that you have with respect to: future employment; a leave of absence during the period of government service; continuation or deferral of payments by a former or current employer other than the U.S. government; or continuing participation in an employee welfare or benefit plan maintained by a former employer.

Date	Parties to Agreement	Terms of Agreement

SCHEDULE G – GIFTS

Report the source (by name), a brief description, and the value of all gifts totaling more than \$390 received by you, your spouse, or your dependent child from any source during the year. **Exclude:** Gifts from relatives, gifts of personal hospitality from an individual (which may not include a registered lobbyist or foreign agent), local meals, and gifts to a spouse or dependent child that are totally independent of his or her relationship to you. Gifts with a value of \$156 or less need not be added towards the \$390 disclosure threshold. **Note:** The gift rule (House Rule 25, clause 5) prohibits acceptance of gifts except as specifically provided in the rule and some gifts require prior approval of the Committee on Ethics.

Source	Description	Value
<i>Example:</i> Mr. Joseph Smith, Arlington, VA	Silver Platter (prior determination of personal friendship received from the Committee on Ethics)	\$400
Mr. Francis Rooney (FL-19)	Return flight DC to FL due to unexpected votes	\$448.80

FILER NOTES
(Optional)

NOTE NUMBER	NOTES
1	Innate Immunotherapeutics changed their name to Amplia Therapeutics Ltd
2	Bank of Akron Money Market Account was Closed
3	Sinatra & Company Loan #2 Paid in Full
4	Great Plains Recycling Note - written OFF in Full - Company Bankrupt
5	ICU Diagnostics - Closed Down - Product Not Viable
6	Morgan Stanley - Account Closed
7	UBS Bank - Account Closed
8	Epic 1st MM - Account Closed